# Commonwealth Universal Research Enhancement Program Annual Report 2022

**Health Research Office** 

**November 2023** 



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# **Executive Summary**

Chapter 9 of Act 2001-77, the Tobacco Settlement Act (Act) established the Commonwealth Universal Research Enhancement (CURE) Program within the Pennsylvania Department of Health (Department). The program is administered by the Health Research Office.

The CURE Program awards grants to Pennsylvania-based organizations for biomedical, clinical and health services research. Studies funded by the grants aim to improve the delivery of health care, promote health, prevent disease and injury, and translate research advances to community health care practice.

Grant funding is awarded in two types of health research grants:

- ❖ Formula grant distributed by a pre-determined formula to institutions that received funds from the National Institutes of Health (NIH); and
- ❖ Non-formula grants selected through a competitive peer review process.

Over the past 22 years, the Department has awarded more than \$1 billion in CURE Program grants (approximately \$840 million in Formula and approximately \$280 million in Non-formula grants) to a total of 60 organizations.

CURE program awards have led to research discoveries including new developments in smoking cessation; genome-based therapeutics; heart and lung disease; nutrition and obesity; addiction; pancreatic, liver, breast and lung cancers; and leukemia.

Grants have funded laboratory construction, state-of-the-art research equipment acquisition and maintenance, ongoing research programs and new research studies.

#### **Methods**

# **CURE Program Overview**

The Act authorized the Department through the CURE Program to fund health research using tobacco settlement monies. Of the tobacco settlement funds received in Pennsylvania, CURE receives 12.6 percent, of which 70 percent are awarded by "formula" to institutions that have previously received awards from National Institutes of Health (NIH) [titled Formula grants], and 30 percent are used for Non-formula competitive grants. One percent of the tobacco settlement funds are an additional formulaic award to Formula grants that received funds from the National Cancer Institute.

All research must be completed within four years of the grant start date. A Formula grant may be used to support more than one research project, and projects may focus on basic biomedical research, patient-oriented clinical investigations, or health services research. Up to 50 percent of the Formula grant funds may be spent on infrastructure, which is defined in the Act to include office equipment and supplies, nonprofessional personnel, and laboratory or building construction, or renovations used to conduct research.

Research funds are awarded to projects that are consistent with the program's research priorities. In developing the health research priorities, consideration is given to the national health promotion and disease prevention objectives as applied to Pennsylvania. Priorities focus on critical research areas and the disparities in health status that occur among various populations within the Commonwealth.

#### **Formula Grants**

Annually, NIH information is gathered on Pennsylvania institutions that received funds for the preceding federal fiscal year (FFY). Institutions that received three consecutive years of NIH funds are contacted for verification of the funding amounts. Upon receipt of the confirmation, the 70% allocated for Formula funds are distributed as follows: (1) 20 percent is distributed to each institution that receives more than \$175 million as an average amount from the NIH during the three immediately preceding FFYs; (2) 17 percent is distributed to each institution that receives more than \$175 million in federally sponsored research and development obligations in the immediately available preceding FFY as reported by the National Science Foundation (NSF) and receives more than \$60 million as an average amount from the NIH during the three immediately preceding FFYs; and (3) the remaining funds are distributed to eligible institutions based on the percentage calculated by dividing an institution's average award from the NIH for the three immediately preceding FFYs by the sum of the average annual award from the NIH for all of Pa.-based eligible institutions during the three immediately available preceding FFYs. Institutions that received National CancerInstitute (NCI) funds during each of the three immediately preceding FFYs receive additional funds when they fall under (3) above.

All health research fund recipients are required to complete a grant application, which contains information specified in the Act, as well as other information deemed necessary by the

Department. The application includes a memorandum of understanding requiring applicants to abide by federal ethical and procedural standards of conduct as prescribed by the NIH as of the date that the memorandum was executed.

#### **Non-formula Grants**

The CURE program includes two research areas for Non-formula grants, they are: 1) health and 2) spinal cord research.

Through an annual Request for Application (RFA) process, the Department solicits research applications for both areas. All eligible applications are then subjected to a peer review process similar to what is used by the NIH to review and rate the scientific merit of research proposals. Researchers, physicians, and scientists who possess expertise in the area addressed by the proposal serve on the peer review panels which assures that the proposals are rated by experts who understand the scientific merit and significance of complex, highly specialized research methodologies. The peer review panels also review the proposals to determine if there are any ethical issues. Their comments are then sent to the applicants.

#### 1) Health Research

Health research priorities are established by the Department, in conjunction with the Health Research Advisory Committee (HRAC). Applicants that apply in response to an RFA related to the predetermined health research priority must meet certain eligibility criteria, and any specific requirements in the RFA.

Specifically, an awardee must be Pennsylvania-based and must be (1) a person, (2) a non-profit entity that conducts research, (3) a hospital that conducts research and is established under the act of July 19, 1979 (P.L. 130, No. 48), known as the Health Care Facilities Act, (4) an institution of higher education that conducts research, or (5) an entity established under the act of Aug. 24, 1951 (P.L. 1304, No. 315), known as the Local Health Administration Law. All applicants must have their primary research location within Pennsylvania. Entities other than general partnerships and sole proprietorships must be registered with the Department of State.

The research application is subject to a peer review. Following the peer review, the Department identifies members for an evaluation committee (Committee). The Committee reviews both the peer review panel comments and rankings, as well as the proposals, for duplication. The Committee then provides a recommendation to the Secretary of Health for the funding amounts to the top ranked proposals and provides additional comments for the applicants, if necessary.

Grant awards are made based on the amount of available funding, which has been decreasing with the amount for state fiscal year (SFY) 2022-2023 at approximately \$11,207,666. The awards are made based on the amount requested in the application, the amount of funds available, the ranking of the proposals, and how many applications are to be funded.

#### 2) Spinal Cord Research

In October 2018, Act 126 of 2018 established the Spinal Cord Injury Research Program under CURE. This Act provided for the Department, in conjunction with the Spinal Cord Research Advisory Committee (SCRAC), to establish spinal cord injury research priorities. Similar to the health research process, institutions that apply in response to an RFA where spinal cord injury research is the priority must also meet set criteria.

More specifically, an eligible institution must conduct research on spinal cord (SC) injuries in this Commonwealth and be (1) a nonprofit entity that conducts research, (2) a hospital that conducts research and is established under the act of July 19, 1979 (P.L. 130, No. 48), known as the Health Care Facilities Act, or (3) an institution of higher education that conducts research. All institutions must have their primary location within Pennsylvania and must be registered with the Department of State.

Act 126 of 2018, specifically Section 906(3)(i), established no less than \$1,000,000 for spinal cord injury research programs funding. The SCRAC identifies three tier level maximum amounts for funding provided in RFA #67-127 for grant period June 1, 2022, through May 31, 2025. Tier 1 is Pilot Research Grant with the smallest award (\$100,000). Tier 2 is Standard Research Grant with a medium award (\$150,000). Tier 3 is Clinical/Translational Research Grant with the largest award (\$250,000). The awards are made based on the amount requested, the amount of funds available, the ranking of the proposals, and how many applications are to be funded.

#### **Performance Review**

According to the Act, any applicant that receives a health research grant is subject to a performance review at the end of the research project or more often as deemed necessary by the Department. The Department contracted with Oak Ridge Associated Universities (ORAU) to oversee and manage the performance review process. Two types of performance reviews are performed: (1) final performance reviews at the end of the grant term; and (2) interim performance reviews of Non-formula grants when they are partially completed. The performance reviews are based on the requirements specified in the Act and the criteria developed by the Department in consultation with the HRAC and SCRAC.

Research grant recipients submit annual and final progress reports that address the criteria listed below. Non-formula grants are subject to peer review prior to selection for funding by the Department. Non-formula performance reviewers are not asked questions that were considered during the initial peer review.

The performance review evaluation criteria focus on:

- How well did the project meet its stated objectives? If objectives were not completely met, was reasonable progress made?
- What is the likely beneficial impact of this project? If the likely beneficial impact is small, is it judged reasonable considering the dollars budgeted?
- Did the project leverage additional funds, or were additional grant applications submitted as a result of the project?
- Did the project result in any peer-reviewed publications, licenses, patents or commercial development opportunities? Were any of these submitted/filed?
- Did the project enhance the quality and capacity for research at the grantee's institution?
- Did the project lead to collaboration with research partners outside of the institution or new involvement with the community?

#### **Assignment of Performance Review Ratings to Research Projects and Grants**

Formula grant projects and Non-formula grants are reviewed and assigned an overall rating by a minimum of three reviewers. These reviewers are experts in the technical fields of the project who have been screened for conflicts of interest. Reviewers are instructed to base all comments on the documented response to the evaluation criteria.

The final overall rating is the average rating obtained from all the reviewers. Regarding Formula grants that consist of only one project, the overall grant rating will be the average overall rating for the research project. In the case of Formula grants with more than one research project, the overall grant rating is an average rating for all projects.

The rating is made according to the following guidelines:

- Outstanding, 1.00 1.33, indicates that: (1) major strengths were identified throughout the project with few weaknesses; (2) the project met all or most of its stated objectives; and (3) the project is likely to have some beneficial impact.
- **Favorable**, 1.34 2.66, indicates that: (1) strengths were identified within the project with one or more weaknesses; (2) the project met some of its stated objectives and/or made acceptable progress to do so; and/or (3) the project may or may not have a beneficial impact.
- **Unfavorable**, 2.67 3.00, indicates that: (1) major weaknesses were identified that are pervasive throughout the project; (2) the project did not meet any of its objectives or did not make any acceptable progress to meet the objectives; (3) the project is not likely to have any beneficial impact; and/or (4) insufficient data and information were provided to support the fact that the project met any of its objectives or made acceptable progress; or (5) the information and data provided were not applicable to the project objectives listed in the strategic plan.

#### **Use and Impact of Performance Review Reports**

Grant recipients receive a report of the performance review. The report includes the overall rating for the grant, the ratings for each project, reviewer comments on the strengths and weaknesses of the project/grant, and recommendations for future improvement. The grant recipients are required to submit a written response describing how the weaknesses and recommendations will be addressed in future health research grants.

The principal investigator (PI) of the project/grant that receives an unfavorable performance review may become ineligible for health research funding in the future. The consequence of receiving one unfavorable project/grant rating is a written warning outlining the expectations for any future project submissions and/or the inability to apply for a Non-formula grant for two state fiscal years. A second consecutive unfavorable project/grant rating will identify the PI as ineligible for two years of research grant funding. A third consecutive unfavorable project/grant rating will identify the PI as ineligible for five years of research grant funding and will require the institution to provide a corrective action plan for the grant vetting process. A fourth consecutive unfavorable project/grant rating will identify the PI as ineligible for research grant funds and require the institution to ensure the PI is removed as a participant on any currently funded research grant.

# **Findings**

## **CURE Program Accomplishments**

#### **Annual Progress Reports**

At the end of every state fiscal year (SFY), health research grant award recipients report progresson research projects. This information is used to compile the annual report to the legislature. This year's annual report as well as past reports, can be found at: <a href="https://www.health.pa.gov/topics/Research/CURE/Pages/CURE.aspx">https://www.health.pa.gov/topics/Research/CURE/Pages/CURE.aspx</a>. This website is updated with the most recent information about the CURE Program, including announcements concerning research priorities, RFAs and meetings of the HRAC and SCRAC.

This Annual Report includes the annual progress reports for all active grants during the SFY 2022-2023. The focus of the Formula applications research projects during this SFY are identified below in Table 1.

Table 1. Focus of Formula Research Projects						
Research Focus	Number of Projects	Percentage*				
Cell biology, biological chemistry, macromolecular biophysics, genomes and genetics	9	11%				
Biology of development and aging	0	0%				
Bioengineering, surgical sciences and technology	7	8%				
Health of populations, behavioral and biobehavioral processes	15	18%				
Immunology	9	11%				
Infectious diseases and microbiology	4	5%				
AIDS and related research	1	1%				
Oncological sciences	16	19%				
Hematology	1	1%				
Cardiovascular sciences	0	0%				
Endocrine, metabolism, nutrition and reproductive sciences	5	6%				
Musculoskeletal, oral and skin sciences	1	1%				
Digestive sciences	1	1%				
Respiratory sciences	0	0%				
Renal and urological sciences	0	0%				
Neurosciences	10	12%				
Research Infrastructure Project	6	7%				
Total Research Projects	85	95%				

<sup>\*</sup>Percentages may not total 100% due to rounding. Based on applications for RFA67-128 submitted SFY 2022-23. Applications for RFA67-128 are currently under review by Health Research Office staff.

The focus of the Non-formula research projects during this SFY are identified in Table 2.

Table 2. Focus of Non-formula Research Grants					
SFY Research Focus	Status	Amount			
2022-2023 SPINAL CORD INJURY RFA67-153	DATE OF ISSUANCE: APRIL 5, 2023 LETTERS OF INTENT DUE: APRIL 20, 2023 APPLICATIONS DUE: MAY 16, 2023 PEER REVIEW, AND RELEASE OF FUNDS SCHEDULED FOR SFY 2023-2024	\$1,000,000			
2021-2022 Pediatric Cancer RFA67-144	FUNDS FROM SFY 2021 AND 2022 RFA DATE OF ISSUANCE: NOVEMBER 9, 2022 LETTERS OF INTENT DUE: DECEMBER 14, 2022 APPLICATIONS DUE: JANUARY 10, 2023 PEER REVIEW SCHEDULED: MARCH 1-2, 2023 SOH SIGNED DECISION MEMO: MAY 18, 2023 RELEASE OF FUNDS SCHEDULED FOR SFY 2023-2024	\$18,000,000			
2022-2023 Pediatric Cancer RFA67-173	RFA UNDER DEVELOPMENT BY HEALTH RESEARCH OFFICE STAFF FUNDS CARRIED OVER FROM SFY 2022 FUNDS NOT AWARDED IN RFA67-144	\$8,885,900			
2021-2022 Biotechnology RFA67-157	RFA UNDER DEVELOPMENT BY HEALTH RESEARCH OFFICE STAFF	\$2,961,966			

#### **Performance Review Outcomes**

The outcomes of the performance reviews completed during SFY 2022-2023 are found in Table 3. The State Fiscal Year is the year the performance review was completed.

Table 3. Performance Review Outcomes						
State Fiscal Year	Number of Grants	Grant Outcomes	Number of Projects	Project Outcomes		
2016-2017 Formula final	2	0 – Outstanding 2 – Favorable 0 – Unfavorable	8	4 – Outstanding 3 – Favorable 1 – Unfavorable		
2017-2018 Formula final	5	<ul><li>1 – Outstanding</li><li>4 – Favorable</li><li>0 – Unfavorable</li></ul>	23	7 – Outstanding 13 – Favorable 3 – Unfavorable		
2018-2019 Formula Final	6	<ul><li>1 – Outstanding</li><li>5 – Favorable</li><li>0 – Unfavorable</li></ul>	45	13 – Outstanding 32 – Favorable 0 – Unfavorable		

Information based on outcome reports from ORAU for the fiscal year.

# **Appendix 1: Financial Report**

The \$34,872,500 authorized for health research grants for the SFY 2022-2023 will be committed to health research grants and a contract for peer and performance review. This section of the report summarizes the commitments and expenditures for the grants that were awarded during the 2022-2023 SFY. The financial reports for grants awarded during prior state fiscal years may be viewed in prior annual CURE reports at CURE webpage.

SFY 2022-2023 health research grant recipients received the full amount of the grant award upon the initiation of the grant term. When grant funds are awarded in a SFY, recipients are required to invest the funds in an insured interest-bearing account or in accordance with other investment guidelines contained in the grant agreement. Investment earnings must be applied toward health research projects approved by the Department.

Amounts consist of the award amount and expenditures that include interest earned. Any unspentfunds at the completion of the grant award period are returned to the Department.

## **2017-2018 Grant Awards and Expenditures**

The Department awarded \$32,690,162 in Formula grants. Table 1 shows the amount of the grant awards and the expenditures for the last six SFYs.

#### **2018-2019 Grant Awards and Expenditures**

The Department awarded \$33,426,300 in Formula grants. Table 2 shows the amount of the grant awards and the expenditures for the last five SFYs.

## 2019-2020 Grant Awards and Expenditures

The Department awarded \$33,940,300 in Formula grants. Table 3 shows the amount of the grant awards and the expenditures for the last four SFYs.

# 2020-2021 Grant Awards and Expenditures

The Department awarded \$34,240,283 in Formula grants. Table 4 shows the amount of the awards and the expenditures for the last three SFYs.

#### **2021-2022 Grant Awards**

The Department awarded \$36,536,300 in Formula grants. Table 5 shows the amount of the awards.

#### **2022-2023 Grant Awards**

The SFY 2022 Formula RFA67-164 is currently under review by the Department. The Department has authorized \$35,852,400 in Formula grants.

Table 1. 2017-2018 Formula Grants

Funds Awarded and Summary of Expenditures for SFY 2017-2018, 2018-2019, 2019-2020, 2020-2021, 2021-2022, 2022-2023

Formula Grant Institutions 2017- 2018	Funds Awarded	Expenditures SFY 17-18	Expenditures SFY 18-19	Expenditures SFY 19-20	Expenditures SFY 20-21	Expenditures SFY 21-22	Expenditures SFY 22-23
Albert Einstein Healthcare Network	\$59,512	\$22,827	\$35,532	-	-	-	-
Allegheny-Singer ResearchInstitute	\$55,501	\$762	\$51,295	-	-	-	-
American College of Radiology	\$244,014	-	-	\$13,513	\$43,730	\$139,512*	-
Carnegie Mellon University	\$467,894	-	\$46,564	\$278,043	\$12,000	\$131,127*	-
Children's Hospital of Philadelphia	\$4,684,980	\$13,886	\$1,014,734	\$1,291,423	\$831,130	\$1,317,108*	-
Drexel University	\$984,334	-	\$656,111	\$328,222	-	-	-
Duquesne University	\$112,997	-	\$37,923	\$44,795	\$21,366	\$5,158*	-
Franklin and Marshall College	\$12,920	-	-	\$3,255	-	\$9,801*	\$1,402
Geisinger Clinic	\$176,152	-	\$68,557	\$113,340	-	-	-
Hepatitis B Foundation	\$187	-	\$187	-	-	-	-
Institute for Cancer Research	\$1,091,646	\$95,061	\$499,751	\$395,745	\$111,132	-	-
Lankenau Institute for Medical Research	\$111,517	\$4,262	\$70,344	\$37,019	-	-	-
Lehigh University	\$111,441	-	\$84,785	\$22,561	-	-	-
Magee-Women's ResearchInstitute and Foundation	\$1,201,505	\$61,723	\$715,953	\$423,828	-	-	-

Monell Chemical Senses Center	\$129,462	\$7,825	\$121,636	-	-	-	-
Pennsylvania State University	\$5,238,380	\$252,700	\$1,198,212	\$563,282	\$1,382,196	\$567,248*	\$247,614*
Salus University	\$42,703	-	\$11,854	\$31,081	-	-	-
Temple University	\$2,239,881	-	\$311,988	\$668,781	\$340,923	\$918,487*	-
Thomas Jefferson University	\$1,890,887	1	\$770,329	\$519,092	\$330,342	\$322,517*	-
University of Pennsylvania	\$6,162,800	-	\$832,016	\$3,165,985	\$1,146,468	\$801,806*	-
University of Pittsburgh	\$6,162,800	\$34,787	\$1,017,186	\$1,106,120	\$3,637,224	\$443,798*	\$80,464*
University of the Sciences in Philadelphia	\$22,390	-	\$10,087	\$12,302	-	-	-
Wistar Institute	\$1,486,259	\$23,873	\$532,121	\$361,753*	-	\$917,749*	-
Total	\$32,690,162	\$517,706	\$8,087,165	\$9,380,140	\$7,856,511	\$5,574,311*	\$329,480*

Amounts consist of the award amount and expenditures that include interest earned.
\*Pending HRO Final Review

## Table 2. 2018-2019 Formula Grants

Funds Awarded and Summary of Expenditures for State Fiscal Year 2018-2019, 2019-2020, 2020-2021, 2021-2022, 2022-2023

Formula Grant Institutions 2018-2019	Funds Awarded	Expenditures SFY 18-19	Expenditures SFY 19-20	Expenditures SFY 20-21	Expenditures SFY 21-22	Expenditures SFY 22-23
Albert Einstein Healthcare Network	\$48,955	-	\$6,602	\$25,121	\$13,992*	\$3,545*
Allegheny-Singer Research Institute	\$55,390	-	\$24,705	\$7,457	\$10,810	\$5,301*
American College of Radiology	\$477,587	-	-	\$44,558	\$35,798*	\$90,232*
Baruch S. Blumberg	\$60,279	-	\$15,211	\$45,092	-	-
Carnegie Mellon University	\$516,169	-	-	\$142,920	\$144,134*	\$231,619*
Children's Hospital of Philadelphia	\$4,824,238	-	\$21,656	\$831,130	\$1,546,568*	\$1,782,871*
Drexel University	\$1,012,965	-	\$35,758	\$551,180	\$313,621*	\$116,694*
Duquesne University	\$85,398	-	\$14,122	\$70,146	-	-
Franklin and Marshall College	\$12,306	-	\$717	-	-	-
Geisinger Clinic	\$225,671	-	\$53,409	\$44,729	\$55,914*	\$45,279*
Institute for Cancer Research	\$1,121,111	\$50,008	\$485,445	\$495,729	\$99,540*	-
Lankenau Institute for Medical Research	\$133,892	-	\$77,887	\$45,926	-	-
Lehigh University	\$116,029	-	-	\$45,015	\$19,371*	\$51,056*
Magee- Women's Research Institute and Foundation	\$1,306,087	-	\$456,513	\$849,573*	-	-
Monell Chemical Senses Center	\$103,668	-	\$28,941	\$43,233	\$13,435*	\$18,146*

Total	\$33,426,300	\$50,008	\$7,559,110	\$8,526,166	\$6,851,093*	\$7,233,328*
Wistar Institute	\$1,751,447	-	\$771,122	\$500,729	\$405,247*	-
University of the Sciences in Philadelphia	\$43,799	-	-	\$5,227*	-	-
University of Pittsburgh	\$6,004,460	-	\$2,833,629	\$1,055,691	\$844,447*	\$1,304,725*
University of Pennsylvania	\$6,004,460	-	\$18,210	\$1,643,612	\$1,962,106*	\$1,479,585*
Thomas Jefferson University	\$2,014,710	-	\$382,937	\$471,793	\$651,004*	\$508,976*
Temple University	\$2,366,163	-	\$479,159	\$634,395	\$131,159*	\$1,152,463*
Salus University	\$37,725	-	\$1,962	\$36,042	-	-
Pennsylvania State University	\$5,103,791	-	\$1,851,125	\$936,868	\$604,757*	\$442,836*

Amounts consist of the award amount and expenditures that include interest earned. \*Pending HRO Final Review

Table 3. 2019-2020 Formula Grants

Funds Awarded and Summary of Expenditures for State Fiscal Year 2019-2020, 2020-2021, 2021-2022, 2022-2023

Formula Grant Institutions 2019-2020	Funds Awarded	Expenditures SFY 19-20	Expenditures SFY 20-21	Expenditures SFY 21-22	Expenditures SFY 22-23
Albert Einstein Healthcare Network	\$46,293	-	-	\$15,640*	-
Allegheny-Singer Research Institute	\$43,359	-	\$43,359*	-	-
American College of Radiology	\$429,444	-	\$11,258	\$38,379*	\$1,983*
Baruch S. Blumberg	\$76,234	-	\$46,421*	\$29,824*	-
Bucknell University	\$6,326	-	-	\$1,283*	\$2,474*
Carnegie Mellon University	\$552,847	-	\$160,770	\$259,867*	\$132,986*
Children's Hospital of Philadelphia	\$4,592,650	-	\$557,041	\$822,852*	\$1,239,594*
Drexel University	\$1,003,510	-	\$37,276	\$608,583*	\$162,002*
Duquesne University	\$56,913	-	\$13,246*	\$24,827*	\$8,590*
Franklin and Marshall College	\$9,176	-	-	-	\$459*
Geisinger Clinic	\$232,936	-	\$23,222	\$88,986*	\$95,763*
Institute for Cancer Research	\$1,039,104	-	\$349,432	\$559,321*	\$60,269*
Lankenau Institute for Medical Research	\$111,688	-	\$45,926	\$51,685	\$14,097
Lehigh University	\$110,976	-	\$5,819	\$31,877*	\$35,136*
Magee-Women's Research Institute and Foundation	\$1,132,807	\$521,984	-	\$495,837*	\$80,478*
Monell Chemical Senses Center	\$87,104	-	\$5,957*	\$40,254*	\$19,041*

NRG Oncology Foundation	\$1,261,979	-	\$93,513*	\$308,765*	\$176,717*
Pennsylvania State University	\$5,182,331	-	\$445,138	\$979,413*	\$1,387,950*
Salus University	\$25,827	-	\$7,962	\$9,285*	\$8,773*
Temple University	\$2,261,135	1	\$192,106*	\$284,501*	\$925,344*
Thomas Jefferson University	\$1,907,083	1	\$371,466*	\$468,340*	
University of Pennsylvania	\$6,096,860	1	\$224,354	\$569,855*	\$1,485,455*
University of Pittsburgh	\$6,096,860	1	\$975,125*	\$3,756,921*	\$687,091*
University of the Sciences in Philadelphia	\$40,410	1	\$5,278*	\$11,094*	\$1,484*
Wistar Institute	\$1,536,448	-	\$500,729	\$394,044*	\$255,357*
Total	\$33,940,300	\$521,984	\$4,115,398	\$9,851,433	\$6,781,043

Amounts consist of the award amount and expenditures that include interest earned.
\*Pending HRO Final Review

# Table 4. 2020-2021 Formula Grants

Funds Awarded and Summary of Expenditures for State Fiscal Year 2020-2021, 2021-2022, 2022-2023

Formula Grant Institutions 2020-2021	Funds Awarded	Expenditures SFY 20-21	Expenditures SFY 21-22	Expenditures SFY 22-23
Albert Einstein Healthcare Network	\$48,175	-	\$889*	\$48,371*
Allegheny-Singer Research Institute	\$32,691	-	-	\$4,320*
American College of Radiology	\$455,940	-	-	\$77,567*
Baruch S. Blumberg	\$66,940	-	\$16,603*	\$31,229*
Carnegie Mellon University	\$705,659	-	\$11,664*	\$41,158*
Children's Hospital of Philadelphia	\$5,037,731	-	\$1,235,940*	\$980,027*
Drexel University	\$1,062,838	-	\$68,639*	\$116,694*
Duquesne University	\$48,976	-	\$831*	\$9,537*
Franklin and Marshall College	\$12,969	-	-	-
Geisinger Clinic	\$260,474	-	\$28,473*	\$61,413*
Institute for Cancer Research	\$1,062,931	-	\$483,243*	\$257,606*
Lankenau Institute for Medical Research	\$97,042	-	-	-
Lehigh University	\$125,738	-	-	\$9,386*
Magee-Women's Research Institute and Foundation	\$1,088,968	-	\$429,366*	-
Monell Chemical Senses Center	\$101,166	-	\$80,528*	\$10,140*
Pennsylvania State University	\$5,434,016	-	-	\$200,766*

Salus University	\$16,048	-	-	\$6,422*
Swarthmore College	\$12,788	-	\$648*	\$5,773*
Temple University	\$2,229,527	-	\$40,697	\$851,816*
Thomas Jefferson University	\$2,005,191	-	\$344,552*	-
University of Pennsylvania	\$6,392,960			\$1,047,470*
University of Pittsburgh	\$6,392,960	-	\$855,743*	\$957,833*
University of the Sciences in Philadelphia	\$32,933	-	\$671	\$11,087*
Wistar Institute	\$1,515,622	-	\$254,372*	\$242,260*
Total	\$34,240,283	-	\$3,852,859*	\$4,970,875*

Amounts shown may not have been disbursed to applicants at the time this report was published. \*Pending HRO Final Review

# **Table 5. 2021-2022 Formula Grants**State Fiscal Year 2021-2022 Funds to be Awarded

Formula Grant Institutions 2021-2022	Funds to be Awarded
Albert Einstein Healthcare Network	\$41,709
Allegheny-Singer Research Institute	\$24,333
American College of Radiology	\$536,167
Baruch S. Blumberg	\$58,137
Carnegie Mellon University	\$686,373
Children's Hospital of Philadelphia	\$5,578,601
Drexel University	\$1,021,686
Duquesne University	\$43,474
Geisinger Clinic	\$271,558
Institute for Cancer Research	\$1,181,462
Lankenau Institute for Medical Research	\$75,660
Lehigh University	\$125,088
Magee-Women's Research Institute and Foundation	\$814,236
Monell Chemical Senses Center	\$110,887
NRG Oncology Foundation, Inc.	\$1,644,644
Pennsylvania State University	\$5,578,601
Salus University	\$8,825

Swarthmore College	\$15,018
Temple University	\$1,975,178
Thomas Jefferson University	\$2,134,477
University of Pennsylvania	\$6,563,060
University of Pittsburgh	\$6,563,060
Wistar Institute	\$1,484,066
Total	\$36,536,300

# **Appendix 2: Research Advisory Committees**

### **Health Research Advisory Committee (HRAC)**

Chaired by the Secretary of Health, this nine-member advisory committee provides recommendations on priorities, grant accountability and evaluation procedures, as well as other related issues. HRAC meeting notices are advertised through the "PA Bulletin" and on the Department's CURE website. Approved committee meeting minutes are posted on the <u>CURE</u> webpage. The committee has had four meetings during the SFY 2022-2023.

Health research grants awarded must address the priorities, which are established by the Department in conjunction with the HRAC. The priorities are reviewed annually and revised as needed. Separate priorities are established for Formula and Non-formula funds. The Formula fund health research priorities have remained unchanged since the first year of the program and are broad-based, so institutions can concentrate on their areas of research strength.

The Non-formula fund priorities have changed annually. The Non-formula priorities for SFY 2022 and 2023 were identified in the SFY 2022 and 2023 Pennsylvania Fiscal Code.

## **Spinal Cord Research Advisory Committee (SCRAC)**

The SCRAC was established and had its first meeting on Jan. 15, 2020. This advisory committee provides recommendations on spinal cord injury priorities, grant accountability and evaluation procedures, and other related issues. SCRAC meeting notices are advertised through the "PA Bulletin" and on the Department's CURE website. Approved committee meeting minutes are posted on the <u>CURE webpage</u>. The committee has had four meetings during the SFY2022-2023.

Spinal cord injury research grants will be awarded based on a tiered system. The Department in conjunction with the SCRAC will review the spinal cord injury research priorities and the tiered process annually.

The current spinal cord injury priorities include the following.

- a) Pharmacologic, biologic, medical device, brain stimulus, and rehabilitative approaches and techniques.
- b) Preference will be given to those projects and techniques that seek to change the nature and course of the injury.
- c) To ensure funded research addresses the gap in translation of discovery to human study and application, further preference will be given to research that is strategically translational or translatable relative to aims and outcomes.
- d) Finally, further preference will be given to research strategies that represent either potential or existing collaboration with industry, whether in the development and trial of biologics, pharmacologics, device or novel therapeutic rehabilitative treatments in combination with these developments.

# **Appendix 3: Research Grants Active during SFYs**

This report contains a summary of the research progress made by the grantees during the 2022 State Fiscal Year. The progress reports identified below and information on grants that ended prior to July 1, 2023, are found at <u>CURE E-library</u>. 021 Formula grants may not have been executed by the publish date of this report.

#### Albert Einstein Healthcare Network

- 2018 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Allegheny Singer Research Institute

- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### American College of Radiology

- 2017 Formula Grant
- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Baruch S. Blumberg Institute

- 2020 Formula Grant
- 2021 Formula Grant

#### **Bucknell University**

• 2019 Formula

#### Carnegie Mellon University

- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Children's Hospital of Philadelphia

- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Non-formula Grant COVID
- 2020 Formula Grant
- 2021 Formula Grant

#### **Drexel University**

- 2018 Formula Grant
- 2019 Formula Grant
- 2019 Non-formula Grant SC Hou
- 2019 Non-formula Grant SC Jin
- 2019 Non-formula Grant SC Lane
- 2019 Non-formula Grant SC Bedzdudnaya
- 2019 Non-formula Grant SC Giszter
- 2019 Non-formula Grant SC Qiang
- 2020 Formula Grant
- 2021 Formula Grant

#### **Duquesne University**

- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Franklin and Marshall College

- 2017 Formula Grant
- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant

#### Geisinger Clinic

- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Institute for Cancer Research

- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Lankenau Institute for Medical Research

- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Lehigh University

- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Magee-Women's Research Institute and Foundation

- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Monell Chemical Senses Center

- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### NRG Oncology Foundation, Inc.

- 2019 Formula Grant
- 2021 Formula Grant

#### Pennsylvania State University

- 2017 Formula Grant
- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Non-formula Grant COVID
- 2020 Formula Grant
- 2021 Formula Grant

#### Public Health Management Corporation

• 2018 Non-formula Grant – Opioid

#### Salus University

- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Swarthmore College

- 2020 Formula Grant
- 2021 Formula Grant

#### Temple University

- 2018 Non-formula Grant Opioid
- 2019 Non-formula Grant Alzheimer
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### Thomas Jefferson University

- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### University of Pennsylvania

- 2018 Formula Grant
- 2018 Non-formula Grant Opioid
- 2019 Non-formula Grant Alzheimer
- 2019 Formula Grant
- 2020 Non-formula Grant COVID
- 2020 Formula Grant
- 2021 Formula Grant

### University of Pittsburgh

- 2017 Formula Grant
- 2018 Formula Grant
- 2019 Non-formula Grant Alzheimer
- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant

#### University of the Sciences in Philadelphia

- 2018 Formula Grant
- 2019 Formula Grant
- 2020 Formula Grant

#### Wistar Institute

- 2019 Formula Grant
- 2020 Formula Grant
- 2021 Formula Grant